

**2021 ACT 44 DISCLOSURE FORM FOR ENTITIES PROVIDING
PROFESSIONAL SERVICES TO THE
BOROUGH OF GETTYSBURG 'S PENSION SYSTEM**

CHAPTER 7-A OF ACT 44 OF 2009 MANDATES the annual disclosure of certain information by every entity (hereinafter "**Contractor**") which is a party to a professional services contract with one of the pension funds of **BOROUGH OF GETTYSBURG** (hereinafter the "**Requesting Municipality**"). Act 44 disclosure requirements apply to *Contractors* who provide professional pension services and receive payment of any kind from the **Requesting Municipality's** pension fund. The **Requesting Municipality** has determined that your company falls under the requirements of Act 44 and must complete this disclosure form. You are expected to submit this completed form, to the Requesting Municipality below, **by December 17, 2021**. If, for any reason you believe that Act 44 does not require you to complete this disclosure form, please provide a written explanation of your reason(s) **by December 17, 2021**.

RETURN COMPLETED

DISCLOSURE TO: **Borough of Gettysburg
Attn: Sara L. Stull, Chief Administrative Officer (CAO)
59 East High Street, Gettysburg, PA 17325
717-334-1160 x 240
sstull@gettysburgpa.gov**

REQUIRED UPDATES:

Where noted, information in this form must be updated in writing as changes occur.

DEFINITIONS FOR DISCLOSURE

TERM:	DEFINITION:
CONTRACTOR	Any person, company, or other entity that receives payments, fees, or any other form of compensation from a municipal pension fund in exchange for rendering professional services for the benefit of the municipal pension fund.
SUBCONTRACTOR OR ADVISOR	Anyone who is paid a fee or receives compensation from a municipal pension system – directly or indirectly from or through a contractor.
AFFILIATED ENTITY	Any of the following: <ol style="list-style-type: none"> 1. A subsidiary or holding company of a lobbying firm or other business entity owned in whole or in part by a lobbying firm. 2. An organization recognized by the Internal Revenue Service as a tax-exempt organization under section 501(c) of the Internal Revenue Code of 1986 (Public Law 99-514, 26 U.S.C. § 501 (c)) established by a lobbyist or lobbying firm or an affiliated entity.
CONTRIBUTIONS	As defined in section 1621 of the act of June 3 rd , 1937 (P.L. 1333, No. 320), known as the Pennsylvania Election Code
POLITICAL COMMITTEE	As defined in section 1621 of the act of June 3 rd , 1937 (P.L. 1333, No. 320), known as the Pennsylvania Election Code
EXECUTIVE LEVEL EMPLOYEE	Any employee or person or the person's affiliated entity who: <ol style="list-style-type: none"> 1. Can affect or influence the outcome of the person's or affiliated entity's actions, policies, or decisions relating to pensions and the conduct of business with a municipality or a municipal pension system; or 2. Is directly involved in the implementation or development policies relating to pensions, investments, contracts or procurement or the conduct of business with a municipality or municipal pension system.
MUNICIPAL PENSION SYSTEM	Any qualifying pension plan, under Pennsylvania state law, for any municipality within the Commonwealth of Pennsylvania; includes the Pennsylvania Municipal Retirement System. <i>Example: the Police Pension Plan for the Borough of Winchesterville</i>
MUNICIPAL PENSION SYSTEM OFFICIALS AND EMPLOYEES; MUNICIPAL OFFICIALS AND EMPLOYEES	Specifically , those listed in TABLE 2 titled: "List of Pension System and Municipal Officials and Employees" on the next page. Where applicable, includes any employee of the Requesting Municipality.
PROFESSIONAL SERVICES CONTRACT	A contract to which the municipal pension system is a party that is: (1) for the purchase of professional services including investment services, legal services, real estate services, and other consulting services; and, (2) not subject to a requirement that the lowest bid be accepted.

List of Municipal Officials for the Requesting Municipality

CONTRACTORS: Certain requests for information in this form will refer to a “**List of Municipal Officials.**” To assist you in preparing your answers, you should consider the following names to be a complete list of pension system and municipal officials and employees. Throughout this Disclosure Form, the below names will be referred to as the “*List of Municipal Officials.*”

MUNICIPALITY: Enter below, a list of municipal officials that have any involvement in the administration or management of the pension system – Elected Officials, Appointed Officials and Employees, Board Members, or other Pension Committee Members (if applicable). Do not include employees that are not in a management position or serve on a pension committee or in a decision-making position relative to this pension system. If a category listed below is not applicable, so state.

Elected Officials:			
Name:	Title:	Name:	Title:
See Attached			
Employees or Appointed Officials:			
Name:	Title:	Name:	Title:
Others: Pension Committee Members (if applicable) (persons not already listed above):			
Name:	Title:	Name:	Title:



Gettysburg
HISTORIC CROSSROADS

Borough of Gettysburg
Adams County, Pennsylvania
59 East High Street
Gettysburg, PA 17325

Telephone: (717) 334-1160
FAX: (717) 334-7258
www.gettysburgpa.gov

Theodore H. Streeter, Mayor
Wesley K. Heyser, Council President
J. Matthew Moon, Council Vice President

Charles R. Gable, Borough Manager
Sara L. Stull, Assistant Borough Manager/Secretary
Harold A. Eastman, Jr., Borough Solicitor

BOROUGH COUNCIL 2021

<u>HOME</u>	<u>WORK</u>	<u>EMAIL</u>	<u>FIRST WARD</u>	<u>TERM EXPIRES</u>
717-578-3298		plawson@gettysburgpa.gov	Patricia A. Lawson (D) 515 Carlisle Street	Jan-22
717-850-8210		wheyser@gettysburgpa.gov	Wesley K. Heyser (Pres) (R) 226 North Stratton Street	Jan-24
<u>SECOND WARD</u>				
717-339-7151		cberger@gettysburgpa.gov	Christopher M. Berger (D) 156 Seminary Avenue	Jan-22
415-505-4092		mmoon@gettysburgpa.gov	J. Matthew Moon (V-Pres) (D) 98 Springs Avenue	Jan-24
<u>THIRD WARD</u>				
717-324-1685		jlavver@gettysburgpa.gov	John D. Lavver (R) 524 McMillan Street	Jan-24
717-337-0724		jbutterfield@gettysburgpa.gov	Judith R. Butterfield (R) 999 Sunset Avenue	Jan-22
<u>AT LARGE</u>				
856-834-8403		nredman@gettysburgpa.gov	Nicholas Redman (D) 138 Ridge Avenue	Jan-22
<u>MAYOR</u>				
717-870-2868	334-1160 X230	tstreeter@gettysburgpa.gov	Theodore H. Streeter (R) 111 East Middle Street	Jan-22



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Harold A. Eastman, Jr., Borough Solicitor

2021

<u>WORK</u>	<u>NAME</u>	<u>DEPARTMENT</u>
334-1160 X 222	Charles R. Gable 59 East High Street	Borough Manager cgable@gettysburgpa.gov
334-1160 X 240	Sara L. Stull 59 East High Street	Assistant Borough Manager/Secretary sstull@gettysburgpa.gov
334-1168 X 231	Robert W. Glenny Jr. 59 East High Street	Chief of Police rglenny@gettysburgpa.gov
344-5165	Harold A. Eastman Jr. 123 Baltimore St, Suite 101	Borough Solicitor heastman@barley.com
334-1160 X 247	Tamara L. Murdorf 59 East High Street	Accountant I/Finance Assistant tmurdorf@gettysburgpa.gov
334-1160 X 224	Carly Marshall 59 East High Street	Planning Director cmarshall@gettysburgpa.gov
334-1160 X 238	Debra English 59 East High Street	Environmental & Historic Preservation denglish@gettysburgpa.gov
334-1160 X 241	Rebecca L. Fissel 59 East High Street	Parking Manager rfissel@gettysburgpa.gov
717-752-0570	Robert Harbaugh 59 East High Street	Public Works Director rharbaugh@gettysburgpa.gov
334-1160 X 229	Clem Malot 59 East High Street	Code Enforcement pacodealliance.com
337-3021	Chad Clabaugh C. S. Davidson, Inc.	Borough Engineer cmc@csdavidson.com
253-0578	Mark Guise 601 East Middle St	GMA Utilities Manager mguise@gettysburgma.com
752-6115	David Sanders 59 East Stevens Street	EMA Director dave@ivancdutterer.com

RHOADES LAW

A Limited Liability Company

129 Golfview Drive
Gibsonia, PA 15044

Telephone No.: (412)765-2228

Facsimile No.: (412)765-2240

E-Mail Address: rrhoades@rhoadeslawllc.com

December 15, 2021

Sara L. Stull, CAO
Borough of Gettysburg
59 East High Street
Gettysburg, PA 17325

Dear Sara:

Please find enclosed an Act 44 Disclosure Form completed with respect to the services of our firm.

I look forward to any questions or comments.

Very truly yours,


RANDALL R. RHOADES

RRR:hb
Enclosure

IDENTIFICATION OF CONTRACTORS & RELATED PERSONNEL

CONTRACTORS: (See "Definitions" – page 2) Any entity who currently provides service(s) by means of a Professional Services Contract to the Municipal Pension System of the **Requesting Municipality**, please complete all of the following:

Identify the Municipal Pension System(s) for which you are providing information:

Indicate all that apply with an "X": ☒ Non- Uniform Plan ☒ Police Plan
☐ Fire Plan

****NOTE:** For all that follow, you may answer the questions / items on a separate sheet of paper and attach it to this Disclosure if the space provided is not sufficient. Please reference each question / item you are responding to by the appropriate number. (example: REF – Item #1.)

1. Please provide the names and titles of all individuals providing professional services to the **Requesting Municipality's** pension plan(s) identified above. Also include the names and titles of any advisors and subcontractors of the Contractor, identifying them as such. After each name provide a description of the responsibilities of that person with regard to the professional services being provided to each designated pension plan. *Randall R. Rhoades, Esq.*

The above attorney provides legal services as needed by the Plans

2. Please list the name and title of any *Affiliated Entity* and their *Executive-level Employee(s)* that require disclosure; after each name, include a brief description of their duties. (See: Definitions)

N/A

3. Are any of the individuals named in **Item 1** or **Item 2** above, a current or former official or employee of the **Requesting Municipality**? *NO*

➔ IF "YES", provide the name of the person employed, their position with the municipality, and dates of employment.

4. Are any of the individuals named in **Item 1** or **Item 2** above a current or former registered Federal or State lobbyist? *NO*

➔ IF "YES", provide the name of the individual, specify whether they are a state or federal lobbyist, and the date of their most recent registration /renewal.

NOTICE: All information provided for items 1- 4 above must be updated as changes occur.

5. Since December 17th 2009, has the *Contractor* or an *Affiliated Entity* paid compensation to or employed any third party intermediary, agent, or lobbyist that is to directly or indirectly communicate with an official or employee of the *Municipal Pension System* of the **Requesting Municipality** (OR), any municipal official or employee of the **Requesting Municipality** in connection with any transaction or investment involving the *Contractor* and the Municipal Pension System of the **Requesting Municipality**? *NO*
This question does not apply to an officer or employee of the *Contractor* who is acting within the scope of the firm's standard professional duties on behalf of the firm, including the actual provision of legal, accounting, engineering, real estate, or other professional advice, services, or assistance pursuant to the professional services contact with municipality's pension system.

➔ IF "YES", identify: (1) whom (the third party intermediary, agent, or lobbyist) was paid the compensation or employed by the *Contractor* or *Affiliated Entity*, (2) their specific duties to directly or indirectly communicate with an official or employee of the *Municipal Pension System* of the **Requesting Municipality**

(OR), any municipal official or employee of the **Requesting Municipality**, (3) the official they communicated with, and (4) the dates of this service.

6. Since December 17th 2009, has the **Contractor**, or any agent, officer, director or employee of the **Contractor** solicited a contribution to any municipal officer or candidate for municipal office in the **Requesting Municipality**, or to the political party or political action committee of that official or candidate? **NO**
➔ IF "YES", identify the agent, officer, director or employee who made the solicitation and the municipal officials, candidates, political party or political committee who were solicited (to whom the solicitation was made).
7. Within the past two years: Has the **Contractor** or an **Affiliated Entity** made any contributions to a municipal official or any candidate for municipal office in the **Requesting Municipality**? **NO**
➔ IF "YES", provide the name and address of the person(s) making the contribution, the contributor's relationship to the Contractor, The name and office or position of the person receiving the contribution, the date of the contribution, and the amount of the contribution.
8. Does the **Contractor** or an **Affiliated Entity** have any direct financial, commercial or business relationship with any official identified on the **List of Municipal Officials**, of the **Requesting Municipality**? **NO**
➔ IF "YES", identify the individual with whom the relationship exists and give a detailed description of that relationship.
NOTE: A written letter is required from the **Requesting Municipality acknowledging the relationship and consenting to its existence. The letter must be attached to this disclosure. Contact the **Requesting Municipality** to obtain this letter and attach it to this disclosure before submission.
9. Since December 17th, 2009: Has the **Contractor** or an **Affiliated Entity** given any gifts having more than a nominal value to any official, employee or fiduciary – specifically, those on the **List of Municipal Officials** of the **Requesting Municipality**? **NO**
➔ IF "YES", Provide the name of the person conferring the gift, the person receiving the gift, the office or position of the person receiving the gift, specify what the gift was, and the date conferred.
10. Disclosure of contributions to any political entity in the Commonwealth of Pennsylvania
Applicability: A "yes" response is required and full disclosure is required ONLY WHEN ALL of the following applies: **NO**
- a) The contribution was made within the last 5 years
 - b) The contribution was made by an officer, director, executive-level employee or owner of at least 5% of the **Contractor** or **Affiliated Entity**.
 - c) The amount of the contribution was at least \$500 and in the form of:
 - 1. A single contribution by a person in (b.) above, OR
 - 2. The aggregate of all contributions all persons in (b.) above;
 - d) The contribution was for
 - 1. Any candidate for any public office or any person who holds an office in the Commonwealth of Pennsylvania;
 - 2. The political committee of a candidate for public office or any person that holds an office in the Commonwealth of Pennsylvania.
- ➔ IF "YES", provide the name and address of the person(s) making the contribution, the contributor's relationship to the **Contractor**, The name and office or position of the person receiving the contribution (or the political entity / party receiving the contribution), the date of the contribution, and the amount of the contribution.

11. With respect to your provision of professional services to the Municipal Pension System of the **Requesting Municipality**:

Are you aware of any apparent, potential or actual conflicts of interest with respect to any officer, director or employee of the **Contractor** and officials or employees of the **Requesting Municipality**? NO

NOTE: If, in the future, you become aware of any apparent, potential, or actual conflict of interest, you are expected to update this **Disclosure Form** immediately in writing by:

- Providing a brief synopsis of the conflict of interest (and);
- An explanation of the steps taken to address this apparent, potential, or actual conflict of interest.

➔ **IF "YES"**, Provide a detailed explanation of the circumstances which provide you with a basis to conclude that an apparent, potential, or actual conflict of interest may exist.

VERIFICATION

I, Randall R. Rhoades, hereby state that I am Managing Member for
(Name) (Position)
Rhoades Law, LLC and I am authorized to make this verification.
(Contractor)

I hereby verify that the facts set forth in the foregoing Act 44 Disclosure Form for Entities Providing Professional Services to **BOROUGH OF GETTYSBURG** Pension System are true and correct to the best of my knowledge, information and belief. I also understand that knowingly making material misstatements or omissions in this form could subject the responding Contractor to the penalties in Section 705-A(e) of Act 44.

I understand that false statements herein are made subject to the penalties of 18 P.A.C.S. § 4904 relating to unsworn falsification to authorities.

Randall R. Rhoades/LL
Signature

12-15-2021
Date